# **OHM SECURITIES**

### Investor Complaint data of Ohm Securities (SEBI Reg No.: INZ000167114)

(as prescribed in Annexure B of SEBI Circular SEBI/HO/MIRSD/DOP/P/CIR/2021/676 dated 2nd December, 2021)

| Sr.<br>No. | Received<br>from             | Carried<br>forward<br>from<br>previous<br>month | Received<br>during the<br>month | Total<br>Pending | Resolved* | Pending at<br>the end of the<br>month |  | Average<br>Resolution<br>on time^<br>(in days) |
|------------|------------------------------|---|---------------------------------|------------------|-----------|---------------------------------------|--|--|
|            |                              |   |                                 |                  |           | Pen<br>din<br>g<br>s                  | Pending<br>for<br>more than<br>3month<br>s |  |
| 1          | 2                            | 3   | 4                               | 5                | 6         | 7                                     |  | 8  |
| 1          | Directly from<br>Investors   | -   | -                               | -                | -         |                                       | -  | -  |
| 2          | SEBI<br>(SCORE<br>S)         | -   | -                               | -                | -         | -                                     |  | -  |
| 3          | Stock<br>Exchanges           | -   | -                               | -                | -         | -                                     |  | -  |
| 4          | Other<br>Sources<br>(if any) | -   | -                               | -                | -         | -                                     |  | -  |
| 5          | Grand<br>Total               | -   | -                               | -                | -         |                                       | -  | -  |

## Data for every month ending July 2023

#### Trend of monthly disposal of complaints

| SN | Month       | Carried forward<br>from previous<br>month | Received | Resolved* | Pending** |
|----|-------------|---|----------|-----------|-----------|
| 1  | 2           | 3   | 4        | 5         | 6         |
| 1  | April-2023  | -   | -        | -         | -         |
| 2  | May-2023    | -   | -        | -         | -         |
| 3  | June-2023   | -   | -        | -         | -         |
| 4  | July-2023   | -   | -        | -         | -         |
|    | Grand Total | -   | -        | -         | -         |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in thecurrent month divided by total number

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of complaints resolved in the current month.

#### Trend of annual disposal of complaints

| SN | Year        | Carried forward | Received during the<br>year | Resolved   | Pending at    |
|----|-------------|-----------------|-----------------------------|------------|---------------|
|    |             | from previous   | your                        | during the | the end ofthe |
|    |             | year            |                             | year       | year          |
| 1  | 2017-18     | -               | -                           | -          | -             |
| 2  | 2018-19     | -               | -                           | -          | -             |
| 3  | 2019-20     | -               | -                           | -          | -             |
| 4  | 2020-21     | -               | -                           | -          | -             |
| 5  | 2021-22     | -               | -                           | -          | -             |
|    | Grand Total | -               | -                           | -          | -             |